



DOCKET NO. 3515/138320.02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Cesar A. Galindo-Legaria, et al.

Confirmation No.:

Application No.: 10/785,328

Group Art Unit: Not Yet Assigned

Filing Date: February 24, 2004

Examiner: Not Yet Assigned

**For: VALIDATING MULTIPLE EXECUTION PLANS FOR DATABASE
QUERIES**

DATE OF DEPOSIT:

April 8, 2004

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TYPED NAME: **Bruce D. George**
REGISTRATION NO.: **43,631**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

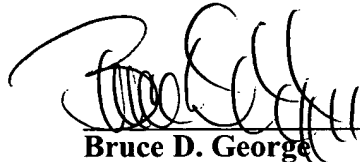
EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☒ Copies of references **1 thru 12** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **09/539,824**, filed **March 31, 2000**.

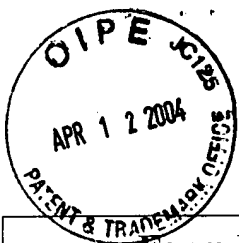
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: APRIL 8, 2004


Bruce D. George
Registration No. 43,631

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-3515(138320.02)	Application No. 10/785,328
	Applicant Cesar A. Galindo-Legaria, et al.	
	Filing Date February 24, 2004	Group Not Yet Assigned

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	5,091,852	02/92	Tsuchida, et al.	395	600
	2	5,598,559	01/28/97	Chaudhuri	395	602
	3	5,659,725	08/97	Levy, et al.	395	600
	4	5,717,911	02/10/98	Madrid, et al.	395	602
	5	5,822,747	10/13/98	Graefe, et al.	707	2
	6	5,832,477	11/03/98	Bhargava, et al.	707	2
	7	5,956,706	09/21/99	Carey, et al.	707	2
	8	6,341,281 B1	01/22/02	MacNicol, et al.	707	3
	9	6,353,818 B1	03/05/02	Carino, Jr.	707	2
	10	6,374,263 B1	04/16/02	Bunger, et al.	707	201
	11	6,546,381	04/03	Subramanian, et al.	707	2
	12	6,581,055 B1	06/17/03	Ziauddin, et al.	707	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER

DATE CONSIDERED